

DOCKET NO.: F0467.70006US00

DECLARATION FOR PATENT APPLICATION

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As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name. **Technology Center 2600**

I believe the named inventor(s) to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**METHODS AND APPARATUS FOR INTEGRATED DISPLAY OF PROCESS EVENTS
AND TREND DATA**

the specification of which:

☐ is attached hereto;

☒ was filed on August 23, 1999, as United States Application No. 09/378,969, Confirmation No. 9703, bearing Docket No. F0467.70006US00.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below:

60/100,286
(Application Number)

September 14, 1998
(filing date)

The undersigned hereby appoints the following attorney(s) and/or agent(s) to prosecute this application and all related divisional, continuing, substitute, renewal, reissue, re-exam, and to conduct all business in the Patent and Trademark Office connected therewith:

☒ Practitioners associated with **Wolf, Greenfield & Sacks, P.C.** 's Customer Number: **23628**

AND

☐ Practitioner(s) named below:

Name	Registration Number

☒ Direct all correspondence to the above-mentioned customer number

Address all telephone calls to William R. McClellan at telephone no. (617) 646-8000.

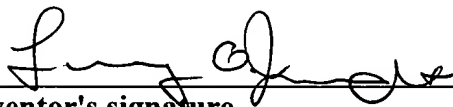
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.



6/15/2005
Date

Inventor's signature

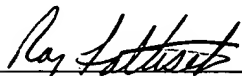
Full name of first or joint inventor: Robert B. Havekost
Citizenship: USA
Residence: 146 Southwest Brook Lane
Elgin, TX 78621
Post Office Address: 146 Southwest Brook Lane
Elgin, TX 78621



6/15/05
Date

Inventor's signature

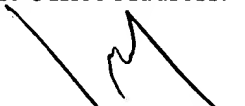
Full name of second joint inventor: Larry Oscar Jundt
Citizenship: USA
Residence: 305 Northfield Street
Round Rock, TX 78681
Post Office Address: 305 Northfield Street
Round Rock, TX 78681



16 June 2005
Date

Inventor's signature

Full name of third joint inventor: Roy Faltesek
Citizenship: USA
Residence: 2202 Falcone Drive
Round Rock, TX 78681
Post Office Address: 2202 Falcone Drive
Round Rock, TX 78681



6/15/2005
Date


Inventor's signature

Full name of fourth joint inventor: Ian James Nadas
Citizenship: Canada
Residence: 4718 Palisade Drive
Austin, TX 78731
Post Office Address: 4718 Palisade Drive
Austin, TX 78731

Serial No.: 09/378,969

Page 3

Declaration for Patent Application


Inventor's signature

6-14-05
Date

Full name of fifth joint inventor:

Cindy A. Scott

Citizenship:

USA

Residence:

30105 Oakmont Drive
Georgetown, TX 78628

Post Office Address:

30105 Oakmont Drive
Georgetown, TX 78628



DOCKET NO.: F0467.70006US00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Robert B. Havekost et al.
Serial No.: 09/378,969
Confirmation No.: 9703
Filed: August 23, 1999
For: METHODS AND APPARATUS FOR INTEGRATED
DISPLAY OF PROCESS EVENTS AND TREND DATA

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JUL 06 2005

Technology Center 2600

Examiner: Chante E. Harrison
Art Unit: 2672

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 29th day of June, 2005.


Signature


Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

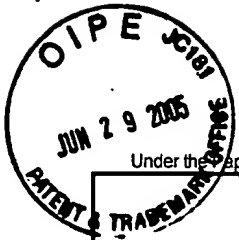
CONSENT OF ASSIGNEE

Fisher Rosemount Systems, Inc., Assignee of U.S. Application Serial No. 09/378,969, hereby consents to the correction of inventorship in the above-identified application by the addition of Cindy A. Scott as an inventor.

Date

6/16/05


Michael L. Sheldon
Assistant Secretary



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Robert B. Havekost et al.

Application No./Patent No.: 09/378,969 Filed/Issue Date: August 23, 1999

Entitled: METHODS AND APPARATUS FOR INTEGRATED DISPLAY OF PROCESS EVENTS AND TREND DATA

Fisher Rosemount Systems, Inc., a Corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 010195, Frame 0516, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____
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The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.
[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.



Signature

Michael L. Sheldon
Printed or Typed Name

Assistant Secretary
Title

6/16/05

Date

512-834-7346

Telephone Number

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I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: 6/27/05 Signature: Paula K. Limbacher



**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

OCTOBER 29, 1999

PTAS

WOLF, GREENFIELD & SACKS, P.C.
WILLIAM R. MCCLELLAN
FEDERAL RESERVE PLAZA
600 ATLANTIC AVENUE
BOSTON, MA 02210



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Confirmation	<input type="checkbox"/>

Initials: *[Signature]*

**UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 08/23/1999

REEL/FRAME: 010195/0516
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

HAVEKOST, ROBERT B.

DOC DATE: 08/17/1999

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ASSIGNOR:

JUNDT, LAWRENCE OSCAR

DOC DATE: 08/17/1999

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ASSIGNOR:

FALTESEK, ROY

DOC DATE: 08/17/1999

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ASSIGNOR:

NADAS, JAMES

DOC DATE: 08/17/1999

ASSIGNEE:

FISHER ROSEMOUNT SYSTEMS, INC.
8301 CAMERON ROAD
AUSTIN, TEXAS 78754

SERIAL NUMBER: 09378969
PATENT NUMBER:

FILING DATE: 08/23/1999
ISSUE DATE:

010195/0516 PAGE 2

SHARON LATIMER, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

<p>ms 8.23.99</p> <p>FORM PTO-1595 (Rev. 6-93) OMB No. 0651-0011 (exp. 4-94)</p> <p>01 P R JUN 29 2005</p> <p>08-31-1999</p> <p>101130826</p> <p>U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office</p> <p>3 SHEET</p> <p>To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.</p>	
<p>1. Name of conveying party(ies): Robert B. Havekost, Lawrence Oscar Jundt, Roy Faltesek and Ian James Nadas</p> <p>Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____</p> <p>Execution Date: August 17, 1999</p>	<p>2. Name and address of receiving party(ies) Name: Fisher Rosemount Systems, Inc. Street Address: 8301 Cameron Road Austin, Texas, 78754 Additional name(s) & addresses(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>RECEIVED JUL 06 2005 Technology Center 2600</p> <p>JCS49 U.S. PTO 09/378969 08/23/99</p>
<p>4. Application number(s) or patent number(s): 09,378969</p> <p>If this document is being filed together with a new application, the execution date of the application is August 23, 1999</p> <p>A. Patent Application No.(s) B. Patent No.(s)</p> <p>Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	
<p>5. Name and address of party to whom correspondence Concerning document should be mailed: Name: William R. McClellan Address: WOLF, GREENFIELD & SACKS, P.C. Federal Reserve Plaza 600 Atlantic Avenue Boston, MA 02210</p>	<p>6. Total number of applications and patents involved: [1]</p> <p>7. Total fee (37 CFR 3.41).....\$ 40.00 <input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to be charged to deposit account The Commissioner is authorized to charge:</p> <p>8. Deposit Account No: 23/2825</p>
<p>DO NOT USE THIS SPACE</p>	
<p>9. Statement and signature To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</p> <p>William R. McClellan</p> <p>Signature: William R. McClellan Date: AUG. 23, 1999</p> <p>Name of Person Signing Signature Date</p> <p>Total number of pages including cover sheet, attachments, and document: [4]</p>	

Mail documents to be recorded with required cover sheet information to:
Box Assignment, Commissioner of Patents and Trademarks,
Washington, D.C. 20231

F0467/7006 WRM/BL

ASSIGNMENT

In consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the undersigned Robert B. Havekost, 14507 Crystal Court, Austin, Texas 78728; Lawrence Oscar Jundt, 305 Northfield, Round Rock, Texas 78681; Roy Faltesek, 2202 Falcon Drive, Round Rock, Texas 78681; and Ian James Nadas, 4718 Palisade Drive, Austin, Texas 78731 hereby

Sell, assign and transfer to Fisher Rosemount Systems, Inc., a Texas corporation having a place of business at 8301 Cameron Road, Austin, Texas 78754, its successors, assigns and legal representatives, all hereinafter referred to as the Assignee, the entire right, title and interest for the United States and all foreign countries, in and to any and all inventions which are disclosed in the application for United States Letters Patent executed of even date herewith and entitled METHODS AND APPARATUS FOR INTEGRATED DISPLAY OF PROCESS EVENTS AND TREND DATA, and in and to said application and all divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said inventions; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said inventions including the right to apply for patent rights in each foreign country and all rights to priority.

Agree that said Assignee may apply for and receive Letters Patent for said inventions in its own name; and when requested, without charge to but at the expense of said Assignee, agree to carry out in good faith the intent and purpose of this assignment by executing all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to said Assignee all facts known to us relating to said inventions and the history thereof, and generally by doing everything possible which said Assignee shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and for vesting title to said inventions and all applications for patents and all patents on said inventions, in said Assignee.

Hereby request the Honorable Commissioner of Patents and Trademarks to issue said Letters Patent to said Assignee.

Covenant with said Assignee that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to

others by us, and that full right to convey the same as herein expressed is possessed by us.

Dated: 8/17/99

Robert B. Havekost
ROBERT B. HAVEKOST

STATE OF TEXAS :
COUNTY OF TRAVIS :

Subscribed and sworn to before me this 17 day of August 1999.



[Signature]
Notary Public

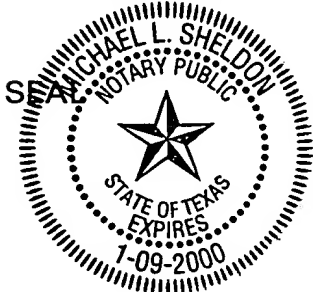
My Commission Expires 9 Jan 00

Dated: 8/17/99

Lawrence Oscar Jundt
LARRY

STATE OF TEXAS :
COUNTY OF TRAVIS :

Subscribed and sworn to before me this 17 day of August 1999.



[Signature]
Notary Public

My Commission Expires 9 Jan 00

Dated: 17 Aug 99

Roy Faltesek
ROY FALTESEK

STATE OF TEXAS :
COUNTY OF TRAVIS :

Subscribed and sworn to before me this 17 day of August.



[Signature]
Notary Public

My Commission Expires 9 Jan 00

Dated: 17 Aug 99

[Signature]
IAN JAMES NADAS

STATE OF TEXAS :
COUNTY OF TRAVIS :

Subscribed and sworn to before me this 17 day of August.



[Signature]
Notary Public

My Commission Expires 9 Jan 00

RECORDATION FORM COVER SHEET
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FORM PTO-1595
(Rev. 6-93)
OMB No. 0651-0011 (exp. 4/94)

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Robert B. Havekost, Lawrence Oscar Jundt, Roy
Faltesek and Ian James Nadas

2. Name and address of receiving party(ies)

Name: Fisher Rosemount Systems, Inc.

Street Address: 8301 Cameron Road
Austin, Texas, 78754

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Additional name(s) & addresses(es) attached? ☐ Yes ☒ No

Execution Date: August 17, 1999

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1549 U.S. PTO
09/378969
08/23/99

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is August 23, 1999

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence
Concerning document should be mailed:

Name: William R. McClellan
Address: WOLF, GREENFIELD & SACKS, P.C.
Federal Reserve Plaza
600 Atlantic Avenue
Boston, MA 02210

6. Total number of applications and patents involved: **[1]**

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account
The Commissioner is authorized to charge:

8. Deposit Account No: 23/2825

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9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

William R. McClellan

William R. McClellan

AUG. 23, 1999

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: **[4]**

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